Please type a plus sign (+) inside this box —> +

PTO/SB/21 (08-00) asse type a plus sign (+) inside this box

+ Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM			Applic	ation Number	09941,93	34			
			Filing	Date	August 3	0, 2001			
			First N	Named Inventor	Joe Carg	nelli			
(to be used for all correspondence after initial filing)			Group	Art Unit	3743				
			Exami	ner Name					
Total Number of Pages	s in This Submission		Attorn	ey Docket Number	9351-072	2			フ
ENCLOSURES (check all that apply)									
Fee Transmittal F	orm		ment Papers Application)		After Allowance Communication to Group				
Fee Attached		☐ Drawin	ng(s)		Appeal Communication to Board of Appeals and Interferences			ľ	
Amendment / Res	sponse	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition)		Proprietary Information		ŀ		
☐ Affidavits/dec	laration(s)	Petition to Convert to a Provisional Application		Status Letter					
		Power of Attorney, Revocation Change of Correspondence Address			Enclosure(s)	150			
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund		HHOLO	DEC	書			
☐ Information Disclosure Statement		CD, Number of CD(s)			Y3	: 12	出		
Cortified Copy of Briggity		Rema					GENTI	2001	
Response to Missing Parts/ Incomplete Application				1			ER 37		
Response to Missing Parts under 37 CFR 1.52 or 1.53							TECHNOLOGY CENTER 370PG 3700 MAIL	0	7 2
		TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT		00	C	7
Firm					-		I	ယ	里
or BERESKIN & PARR Individual name						F	22	重	
Signature						ROD			
Date December 10, 2001							***		
CERTIFICATE OF MAILING									5
I hereby certify that th	is correspondence is	being depos	sited with	the United States Posta	al Service as	first class mail ir	n an env	/elope	
		Patents, Wa	shington	, D.C. 20231 on this dat	e:				_
Typed or printed nam	е	. 							
Signature					Date)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Bereskin & Parr



Barristers and Solicitors/Patent and Trade Mark Agents Practice Restricted to Intellectual Property Law

December 10, 2001

Stephen M. Beney B.Sc. (Physics) 416 957 1697 sbeney@bereskinparr.com

Your Reference: 09/941,934 Our Reference: 9351-072

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents & Trademarks Washington, D.C. U.S.A 20231

Dear Sir:

Re: United States Patent Application No. 09/941,934

For: METHOD AND APPARATUS FOR EXCHANGING ENERGY AND/OR

MASS

Filing Date: August 30, 2001 Applicant: Joe Cargnelli et al.

Group: 3743

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, the applicant hereby submits an Information Disclosure Statement including a listing of patents of which the applicant is aware. In accordance with the requirements of 1.97(b)(1) and (3), this Information Disclosure Statement is filed within three months of the filing date of the Application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Respectfully submitted,

Stephen M. Beney Regn. No. 41,563

/ps Encl.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 1449A/PTO				Complete if Known			
				Application Number	09/941,934		
INFO	RMATION I	DIS	CLOSURE	Filing Date	August 30, 2001		
STATEMENT BY APPLICANT				First Named Inventor	Joe Cargnelli		
				Group Art Unit	3743		
	(use as many shee	ts as	necessary)	Examiner Name			
Sheet	1	of	1	Attorney Docket Number	9351-072		

U.S. PATENT DOCUMENTS							
Examiner Cite No.1		Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		4,093,435	Marron et al.	June 6, 1978			
		4,924,934	Steele	May 15, 1990			
		6,155,334	Steele	December 5, 2000			
		<u> </u>					

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Fo	oreign Patent I	Document	Name of Patentee	Date of Publication		
Initials*	No.1	Office ³	Number ⁴	Kind Code⁵ (<i>if</i> <i>known</i>)	or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
					-			
		<u> </u>	 					
		-						

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.